



120503

16638 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.	VTN 601 DIV									
		First Inventor	Shimoyama, Naoki									
		Title	SURFACE-TREATED PLASTIC ARTICLE AND METHOD OF SURFACE TREATMENT									
(only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.	er 057512903									
APPLICATION ELEMENTS <i>See MPEP Chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450										
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 34] <i>(Preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"><li>- Descriptive Title of the Invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 1]</p> <p>5. Oath or Declaration [Total Pages 6]</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i></li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul></li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certifications under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other</p>										
<p>18. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) of prior application No.: 09/842,402, filed 04/26/2001.</p> <p>Prior application information: Examiner Nakarani, Dhirajlal Group Art Unit: 1773</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>												
<p>19. CORRESPONDENCE ADDRESS</p> <p><input checked="" type="checkbox"/> Customer Number or Bar Code Label 000027777 or <input type="checkbox"/> Correspondence Address below</p> <p>Name: Philip S. Johnson, Esq. Address: Johnson &amp; Johnson One Johnson &amp; Johnson Plaza New Brunswick, NJ 08933-7003 USA</p>												
<p>20. TELEPHONE CONTACT</p> <p>Please direct all telephone calls or telefaxes to Karen Harding at: Telephone: (904) 443-3074 Fax: (732) 524-2808</p>												
<p>21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</p> <table border="1"><tr><td>NAME</td><td>Karen A. Harding</td><td>Reg. No. 33967</td></tr><tr><td>SIGNATURE</td><td colspan="2"></td></tr><tr><td>DATE</td><td colspan="2">December 5, 2003</td></tr></table>				NAME	Karen A. Harding	Reg. No. 33967	SIGNATURE			DATE	December 5, 2003	
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DATE	December 5, 2003											

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<b>FEE TRANSMITTAL</b>	<i>Complete if Known</i>		
	Application Number	n/a	
	Filing Date	December 5, 2003	
	First Named Inventor	Shimoyama, Naoki	
	Group Art Unit	1773	
	Examiner Name	Nakarani, Dhirajlal	
		Attorney Docket Number	VTN 601 DIV

**FEE CALCULATION**

CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$750.00
TOTAL CLAIMS	27 - 20 =	7	x 18.00	\$ 126.00
INDEPENDENT CLAIMS	2 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$280.00	
			TOTAL FEES	\$ 876.00

**METHOD OF PAYMENT**

- ☒ Please charge Deposit Account No. 10-0750/VTN601DIV/KH in the amount of \$876.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/VTN601DIV/KH. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	Karen A. Harding	Reg. No. 33967
Signature	<i>Karen A. Harding</i>	Date: 12/05/2003
		<b>Deposit Account No. 10-0750</b>

<b>FEE TRANSMITTAL</b>	<i>Complete if Known</i>	
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	Filing Date	December 5, 2003
	First Named Inventor	Shimoyama, Naoki
	Group Art Unit	1773
	Examiner Name	Nakarani, Dhirajlal
	Attorney Docket Number	VTN 601 DIV

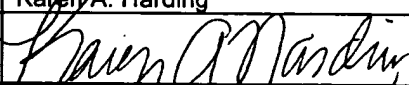
### FEE CALCULATION

#### CLAIMS AS FILED

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Typed or Printed Name	Karen A. Harding	Reg. No. 33967
Signature		Date: 12/05/2003
		<b>Deposit Account No. 10-0750</b>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shimoyama, et al.

For : SURFACE-TREATED PLASTIC ARTICLE AND METHOD OF  
SURFACE TREATMENT

Express Mail Certificate

"Express Mail" mailing number: ER 057512903

Date of Deposit: December 5, 2003

I hereby certify that this complete application, including specification pages, claims, informal drawings, Declaration and Power of Attorney, and Assignment, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathy L. Willan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shimoyama, et al.

Serial No.: Art Unit: 1773

Filed : Examiner: Nakarani, Dhirajlal

For : SURFACE-TREATED PLASTIC ART ICLE AND METHOD OF  
SURFACE TREATMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR 3.73(b)

Johnson & Johnson Vision Care, Inc., a Corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), or the patent application identified above, to the current assignee as shown below:

1. From: Shimoyama, et al.  
To: Toray Industries, Inc. for which a copy thereof is attached.
2. From: Toray Industries, Inc.  
To: Johnson & Johnson Vision Care, Inc.  
The document was recorded in the Patent and Trademark Office at Reel 014067, Frame 0305, and for which a copy thereof is attached.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

December 5, 2003

Date

Karen A. Harding

Signature

Karen A. Harding

Typed or Printed Name

Assistant Secretary

Title